



Home and Community Based Services Provider Scorecard: Children's Habilitation Residential Program

The 2014 CMS Final Rule for Medicaid funded Home and Community Based Services (HCBS Final Rule) programs marks an opportunity to build a truly person-centered service delivery system that supports older adults and people with disabilities. Implementation of the rule will help thousands of Colorado's older adults and people with disabilities to enjoy the full promise of community living by prioritizing the quality of each individual's experience.

This document provides a snapshot of compliance across Colorado HCBS providers participating in the **Children's Habilitation Residential Program** who completed the Initial and/or Secondary Provider Self-Assessment Surveys. It is important to note that **54** providers did not complete the self-assessment survey or response submissions were not able to be linked to a provider. The levels of compliance and necessary remedial action for Colorado's system are indicated only to the degree that providers who responded represent the entire system. These scorecards will be updated as Colorado moves through the transition plan process.

A linked dataset was employed to develop this scorecard. The Colorado Department of Health Care Policy and Financing (HCPF) provided its contractor, the Lewin Group, with a dataset of its existing HCBS providers. This dataset stratified providers by service type and by waiver(s) through which the providers are reimbursed for services. This dataset includes **613** unique providers, identified through un-duplicating provider IDs included in the dataset. Many of these providers offer multiple services and/or participate in multiple waivers. Thus, there are **1,602** rows included in the dataset. Of these, **62** unique providers participate in the Children's Habilitation Residential Program.

These data are linked to scores from survey response data these providers entered through Lewin's survey platform. The Lewin team matched providers to survey scores using provider names, provider ID numbers and provider email addresses. It also performed a manual crosswalk of provider names to ensure the accuracy of all matches. Using a scoring algorithm approved by HCPF, Lewin scored all providers to a Support Level. The Support Level scoring key is illustrated in *Exhibit 1*.

Support Level	Indicators of Isolation
4	Any indication of a setting located on the grounds of or immediately adjacent to a public institution or an indicators of isolation score greater than 50%
3	Indicators of isolation score less than 50% and greater than 25%
2	Indicators of isolation score less than 25% and a score greater than 50% on "Rights, Autonomy, and Choice"
1	Indicators of isolation score less than 25% and a score less than 50% on "Rights, Autonomy, and Choice"

Our mission is to improve health care access and outcomes for the people we serve while demonstrating sound stewardship of financial resources.



To apply the level of remediation, the scores available for the provider setting were counted with the frequency of variances to compliance. The scoring key places a greater value on indicators of isolation and is used to assign a provider to a Support Level. Compliance within this framework is viewed within graduated levels of remediation. Indicators of Rights, Autonomy and Choice are additive and applied to levels 1 and 2 within the scoring key.

The Support Level findings from all 62 providers are presented in Exhibit 2. Note that there are many providers that offer both residential and nonresidential services. Analysis for Exhibit 2 counted these providers in both residential and nonresidential counts.

Exhibit 2 – Providers that Completed a Self-Assessment Survey Based on Provider IDs

3	Number of residential provider IDs with a Support Level 2 or higher (non-compliant)
5	Number of residential provider IDs with a Support Level 1 (compliant)
N/A	Number of nonresidential provider IDs with a Support Level 2 or higher (non-compliant)
N/A	Number of nonresidential provider IDs with a Support Level 1 (compliant)
54	Number of Provider IDs with missing and/or incomplete Self-Assessment Surveys
62	Total Unduplicated Provider IDs

Exhibit 3 (see page 3) shows each area of potential noncompliance by percentage of providers indicating noncompliance. This data is from the Initial Provider Self-Assessment Survey, in which providers responded once across multiple addresses. This table reflects counts of individual provider responses.

Exhibits 4 (see page 4) show statewide maps with by-county frequencies of potentially noncompliant provider addresses.

An exhibit on other scorecards, which displays potential noncompliance by number of clients served across the waiver by provider ID, is not included here due to insufficient response.



Exhibit 3: Status of All Areas of Potential Noncompliance by Number of Providers

<i>Residential Providers</i>	<i>Status</i>	<i>% of Providers</i>
Individuals do NOT have a legally enforceable agreement or residency agreement		62.5%
Medicaid-only resident population		50.0%
Individuals interact with other people with disabilities and paid staff only		25.0%
Individuals do NOT have full access to ALL areas of the setting		25.0%
Individuals must share a home and do NOT have choice of roommates/housemates		25.0%
Individuals do NOT have financial control (e.g. checking account, access to their own funds when they choose, and/or receive assistance to manage finances)		12.5%
Provider Located on the property or adjacent to an institution		12.5%
Individuals do NOT have full access to food until scheduled meal times or snack times		12.5%
Individuals may not be able to leave property 4+ hours/day – weekdays		0.0%
Individuals may not be able to leave property 4+ hours/day – weekends		0.0%
Provider rules that restrict empowerment and community inclusion		0.0%
<i>Residential providers that did not complete self-assessment or cannot be linked</i>		54

Key: Does not need attention (Less than 10.0%) Needs attention (10-24.9%) Needs significant attention (25.0% or more)



Exhibit 4: Noncompliant Residential Providers by County

