

Watch for the Red Flags

“100% profit guaranteed!”



“I’m the senior vice president of this company, I wouldn’t lead you astray.”



“Bob from church has already made a huge profit on his investment!”



“We’ll provide a free lunch if you come listen to our educational seminar!”



“There are only a few shares left at this price!”



Contact Us!



www.dora.colorado.gov/dos

303-894-2320



**Protect Yourself
from
Investment Fraud**



Ask Questions

Studies have shown that scammers are much less likely to continue with a pitch when their intended victim asks questions and challenges their assertions.

Prepare a script or set of questions for phone telemarketers:

- Are you licensed to sell securities? What is your license number?
- Is this offering registered?
- How does this investment make money?
- Do you make a commission off of selling this?
- Can you send me this information in writing?



Research

It's not enough to simply ask questions of a broker or adviser. You need to follow up and make sure that what you've been told is true.

To verify a license or view disciplinary history, or check if an offering is properly registered, visit:

www.brokercheck.finra.org
www.smartcheck.cftc.gov
www.saveandinvest.org

Also, make sure to double-check any investment decision with a knowledgeable and trustworthy friend, family member, or professional. Always get a second, or even third, opinion.



Report

Up to 90% of investment fraud victims fail to report the crime to the proper authorities.

Don't let fear, embarrassment, or lack of certainty about a fraud keep you from reporting.

Call **303-894-2320**, or visit **DORA.colorado.gov/dos** to file a complaint or report suspicious investment offerings.

Remember, the sooner you make a report, the better chance you have of recovering some or all of your losses.

